

## **Preantipodes for dual-quasi bialgebras**

Alessandro Ardizzoni (University of Ferrara, Italy)

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It is known that a dual quasi-bialgebra with antipode  $H$ , i.e. a dual quasi-Hopf algebra, fulfils a fundamental theorem for right dual quasi-Hopf  $H$ -bicomodules. The converse in general is not true. We prove that, for a dual quasi-bialgebra  $H$ , the structure theorem amounts to the existence of a suitable endomorphism  $S$  of  $H$  that we call a preantipode of  $H$ . This is based on joint work with Alice Pavarin (Univ. of Padova, Italy), see arXiv:1012.1956.

# Preantipodes for dual quasi-bialgebras

Alessandro Ardizzoni

Hopf algebras and tensor categories,

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This talk is based on a joint work  
with Alice Pavarin (Univ. of Padova, Italy):



A. Ardizzoni, A. Pavarin, *Preantipodes for Dual Quasi-Bialgebras*, Israel J. Math., to appear. (arXiv:1012.1956)

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TFAE:

- (1) the functor  $(-) \otimes H : \mathfrak{M} \rightarrow \mathfrak{M}_H^H$  is an equivalence of categories;
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Implication (2)  $\Rightarrow$  (1) is the so-called **structure (or fundamental) theorem for Hopf modules**, which is due, in the finite-dimensional case, to Larson and Sweedler.

 R. G. Larson, M. E. Sweedler, *An associative orthogonal bilinear form for Hopf algebras*. Amer. J. Math. **91** (1969), 75–94.

Now, observe that, since  $H$  is a bialgebra,

- $\mathfrak{M}^H$  is a monoidal category,
- $H$  is an algebra in this category,
- $\mathfrak{M}_H^H = (\mathfrak{M}^H)_H$  is the category of right  $H$ -modules in  $\mathfrak{M}^H$ .



A monoidal category [Bénabou, 1963]  $(\mathcal{M}, \otimes, \mathbf{1}, a, l, r)$  is a category  $\mathcal{M}$  endowed with a functor  $\otimes : \mathcal{M} \times \mathcal{M} \rightarrow \mathcal{M}$  (tensor product), an object  $\mathbf{1} \in \mathcal{M}$  (unit of  $\mathcal{M}$ ) and functorial isomorphisms:

$$a_{X,Y,Z} : (X \otimes Y) \otimes Z \rightarrow X \otimes (Y \otimes Z) \quad (\text{associativity constraint,})$$

$$l_X : \mathbf{1} \otimes X \rightarrow X, \quad r_X : X \otimes \mathbf{1} \rightarrow X \quad (\text{unit constraints,})$$

such that the following diagrams commute.

$$\begin{array}{ccc}
 ((U \otimes V) \otimes W) \otimes X & \xrightarrow{a_{U,V,W \otimes X}} & (U \otimes (V \otimes W)) \otimes X \\
 \swarrow a_{U \otimes V, W, X} & & \searrow a_{U, V \otimes W, X} \\
 (U \otimes V) \otimes (W \otimes X) & & U \otimes ((V \otimes W) \otimes X) \\
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 \end{array}$$

$$\begin{array}{ccc}
 (V \otimes \mathbf{1}) \otimes W & \xrightarrow{a_{V, \mathbf{1}, W}} & V \otimes (\mathbf{1} \otimes W) \\
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The notions of algebra and module over an algebra can be introduced in the general setting of monoidal categories.

Now consider the following data:

- $(H, \Delta, \varepsilon) = \text{coalgebra}$ ,
- $m : H \otimes H \rightarrow H = \text{coalgebra map (multiplication)}$ ,
- $u : \mathbb{k} \rightarrow H = \text{coalgebra map (unit)}$  - we set  $1_H := u(1_{\mathbb{k}})$ ,

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- $\forall M, N \in \mathfrak{M}^H$ , we have that  $M \otimes N \in \mathfrak{M}^H$  through

$$x \otimes y \mapsto (x_0 \otimes y_0) \otimes x_1 y_1,$$

where  $x_0 \otimes x_1 = \rho_M^r(x)$ , summation understood;

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It is well-known that the datum  $(\mathfrak{M}^H, \otimes, \mathbb{k})$ , with the structures above, becomes a monoidal category if and only if  $H$  is a dual quasi-bialgebra (Majid, 1990).

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- $\omega$  is a unital 3-cocycle i.e. it is convolution invertible and

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- $m$  is quasi-associative and unitary i.e. it satisfies

$$\begin{aligned} m(H \otimes m) * \omega &= \omega * m(m \otimes H), \\ m(1_H \otimes h) &= h, \text{ for all } h \in H, \\ m(h \otimes 1_H) &= h, \text{ for all } h \in H. \end{aligned}$$

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This notion is dual to the one of quasi-bialgebra, introduced by Drinfeld in 1989, in connection with some system of partial differential equations.

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There is a functor  $F := (-) \otimes H : {}^H\mathfrak{M} \rightarrow {}^H\mathfrak{M}_H^H$ , with structures, for every  $V \in {}^H\mathfrak{M}^H$ ,  $v \in V, h, l \in H$ ,

$$\rho_{F(V)}^l(v \otimes h) = v_{-1}h_1 \otimes (v_0 \otimes h_2),$$

$$\rho_{F(V)}^r(v \otimes h) = (v \otimes h_1) \otimes h_2,$$

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When  $\omega$  is trivial, i.e.  $H$  is an ordinary bialgebra, it can be proved that

**$F$  is an equivalence  $\Leftrightarrow H$  has an antipode.**

Hence the functor  $F$  is a natural substitute for the functor  $(-) \otimes H : \mathfrak{M} \rightarrow \mathfrak{M}_H^H$  considered at the beginning.

Hence our aim is to establish when the functor  
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The following result is the right-handed version of Lemma 2.1 in [Sch].



[Sch] P. Schauenburg, *Two characterizations of finite quasi-Hopf algebras*. J. Algebra **273** (2004), no. 2, 538–550.



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## Proposition

The functor  $F : {}^H\mathfrak{M} \rightarrow {}^H\mathfrak{M}_H^H$  has a right adjoint functor  $G := (-)^{\text{co}H}$ . The counit and the unit of the adjunction are given by

$$\varepsilon_M : M^{\text{co}H} \otimes H \rightarrow M, \quad \varepsilon_M(x \otimes h) := xh \quad \text{and by}$$

$$\eta_N : N \rightarrow (N \otimes H)^{\text{co}H}, \quad \eta_N(n) := n \otimes 1_H,$$

for every  $M \in {}^H\mathfrak{M}_H^H$  and  $N \in {}^H\mathfrak{M}$ .

Moreover  $\eta_N$  is an isomorphism for any  $N \in {}^H\mathfrak{M}$ .

Equivalently, the functor  $F$  is full and faithful.

Next result characterizes when the functor  $F$  is an equivalence of categories in terms of the existence of a suitable map  $\tau$ .

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- (i) The functor  $F : {}^H\mathfrak{M} \rightarrow {}^H\mathfrak{M}_H^H$  is an equivalence of categories.
- (ii)  $\forall M \in {}^H\mathfrak{M}_H^H$ , there exists a  $\mathbb{k}$ -linear map  $\tau : M \rightarrow M^{\text{co}H}$  such that:

$$\begin{aligned}\tau(mh) &= \omega^{-1}[\tau(m_0)_{-1} \otimes m_1 \otimes h]\tau(m_0)_0, \text{ for all } h \in H, m \in M, \\ m_{-1} \otimes \tau(m_0) &= \tau(m_0)_{-1} m_1 \otimes \tau(m_0)_0, \text{ for all } m \in M, \\ \tau(m_0)m_1 &= m \quad \forall m \in M.\end{aligned}$$

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## Proof.

$$(i) \Rightarrow (ii). \text{ Set } \tau := \left( M \xrightarrow{\varepsilon_M^{-1}} M^{\text{co}H} \otimes H \xrightarrow{M^{\text{co}H} \otimes \varepsilon} M^{\text{co}H} \right).$$

$$(ii) \Rightarrow (i). \text{ Set } \varepsilon_M^{-1} := \left( M \xrightarrow{\rho_M^r} M \otimes H \xrightarrow{\tau \otimes H} M^{\text{co}H} \otimes H \right).$$



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$$(M \otimes A) \otimes A \xrightarrow{a_{M,A,A}} M \otimes (A \otimes A) \xrightarrow{M \otimes m_A} M \otimes A.$$

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Explicitly, the structure of  $T(M)$  is given as follows, for all  $M \in {}^H\mathfrak{M}^H$ :

$$\begin{aligned} \rho_{M \otimes H}^l(x \otimes h) &: = x_{-1} h_1 \otimes (x_0 \otimes h_2), \\ \rho_{M \otimes H}^r(x \otimes h) &: = (x_0 \otimes h_1) \otimes x_1 h_2, \\ (x \otimes h)l &: = \omega^{-1}(x_{-1} \otimes h_1 \otimes l_1) x_0 \otimes h_2 l_2 \omega(x_1 \otimes h_3 \otimes l_3). \end{aligned}$$



Consider the functor  $T := (-) \otimes H : {}^H\mathfrak{M}^H \rightarrow {}^H\mathfrak{M}_H^H$  as above and set

$$H \widehat{\otimes} H := T({}^\circ H^\bullet) \in {}^H\mathfrak{M}_H^H,$$

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We are now able to state our main theorem.

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- (iii) There exists  $\mathbb{k}$ -linear map  $S : H \rightarrow H$  such that, for all  $h \in H$ ,

$$S(h_1)_1 h_2 \otimes S(h_1)_2 = 1_H \otimes S(h),$$

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A map  $S$  as above will be called a **preantipode** for the dual quasi-bialgebra.



## Theorem (Main)

For a dual quasi-bialgebra  $(H, m, u, \Delta, \varepsilon, \omega)$ , TFAE.

- (i) The functor  $F : {}^H\mathfrak{M} \rightarrow {}^H\mathfrak{M}_H^H$  is an equivalence of categories.
- (ii)  $\varepsilon_{H \widehat{\otimes} H} : (H \widehat{\otimes} H)^{\text{co}H} \otimes H \rightarrow H \widehat{\otimes} H$  is a bijection.
- (iii) There exists  $\mathbb{k}$ -linear map  $S : H \rightarrow H$  such that, for all  $h \in H$ ,

$$S(h_1)_1 h_2 \otimes S(h_1)_2 = 1_H \otimes S(h),$$

$$S(h_2)_1 \otimes h_1 S(h_2)_2 = S(h) \otimes 1_H,$$

$$\omega(h_1 \otimes S(h_2) \otimes h_3) = \varepsilon(h).$$

A map  $S$  as above will be called a **preantipode** for the dual quasi-bialgebra.

Note that, in order to prove (iii)  $\Rightarrow$  (i), for any  $M \in {}^H\mathfrak{M}_H^H$ , one defines a map  $\tau : M \rightarrow M^{\text{co}H}$ , by setting, for every  $m \in M$ ,

$$\tau(m) := \omega[m_{-1} \otimes S(m_1)_1 \otimes m_2] m_0 S(m_1)_2.$$

## Definition (Majid)

A **dual quasi-Hopf algebra**  $(H, m, u, \Delta, \varepsilon, \omega, s, \alpha, \beta)$  is a dual quasi-bialgebra  $(H, m, u, \Delta, \varepsilon, \omega)$  endowed with a coalgebra anti-homomorphism

$$s : H \rightarrow H$$

and two maps  $\alpha, \beta$  in  $H^*$ , such that, for all  $h \in H$ :

$$\begin{aligned} h_1 \beta(h_2) s(h_3) &= \beta(h) 1_H, & s(h_1) \alpha(h_2) h_3 &= \alpha(h) 1_H, \\ \omega(h_1 \otimes \beta(h_2) s(h_3) \alpha(h_4) \otimes h_5) &= \varepsilon(h) & &= \omega^{-1}(s(h_1) \otimes \alpha(h_2) h_3 \beta(h_4) \otimes s(h_5)). \end{aligned}$$

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## Theorem

Let  $(H, m, u, \Delta, \varepsilon, \omega, s, \alpha, \beta)$  be a dual quasi-Hopf algebra. Then

$$S := \beta * s * \alpha$$

is a preantipode. Here  $*$  denotes the convolution product.

As a corollary, we recover the right-handed version of [Sch, Corollary 2.7]:

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## Corollary

*Let  $(H, m, u, \Delta, \varepsilon, \omega, s, \alpha, \beta)$  a dual quasi-Hopf algebra.*

*Then the functor  $F : {}^H\mathfrak{M} \rightarrow {}^H\mathfrak{M}_H^H$  is an equivalence of categories i.e. the structure theorem for right dual quasi-Hopf  $H$ -bicomodules holds.*

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### Proof.

$H$  has antipode  $\Rightarrow H$  has preantipode  $\Leftrightarrow F$  is an equivalence.  $\square$

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## Corollary

Let  $(H, m, u, \Delta, \varepsilon, \omega, s, \alpha, \beta)$  a dual quasi-Hopf algebra.

Then the functor  $F : {}^H\mathfrak{M} \rightarrow {}^H\mathfrak{M}_H^H$  is an equivalence of categories i.e. the structure theorem for right dual quasi-Hopf  $H$ -bicomodules holds.

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## Remark

In the following paper, there is an example of a dual quasi-bialgebra  $H$  which has no antipode but such that the functor  $F$  is still an equivalence. Thus  $H$  has preantipode  $\not\Rightarrow H$  has an antipode, in general.



*P. Schauenburg, Hopf algebra extensions and monoidal categories. New directions in Hopf algebras, 321–381, Math. Sci. Res. Inst. Publ., 43, Cambridge Univ. Press, Cambridge, 2002.*

## Example

Let  $\theta : G \times G \times G \rightarrow \mathbb{k} \setminus \{0\}$  be a normalized 3-cocycle on a group  $G$  i.e.

$$\begin{aligned}\forall g, h, k, l \in G, \quad \theta(g, 1_G, h) &= 1 \\ \theta(h, k, l)\theta(g, hk, l)\theta(g, h, k) &= \theta(g, h, kl)\theta(gh, k, l).\end{aligned}$$



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In fact  $\mathbb{k}G$  is a dual quasi-Hopf algebra with structure maps

$$\alpha(g) := 1_{\mathbb{k}}, \quad \beta(g) := [\omega(g, g^{-1}, g)]^{-1} \text{ and } s(g) := g^{-1}, \quad \forall g \in G.$$

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By the foregoing,  $S := \beta * s * \alpha$  is a preantipode on  $\mathbb{k}G$  so that

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Note that, unlike the antipode, this preantipode is not a coalgebra anti-homomorphism.

## Definition

Let  $H$  and  $A$  be dual quasi-bialgebras endowed with morphisms of dual quasi-bialgebras

$$\sigma : H \rightarrow A \quad \text{and} \quad \pi : A \rightarrow H$$

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For  $(A, H, \sigma, \pi)$  as above, one easily proves that  $A \in {}^H\mathfrak{M}_H^H$  through

$$\rho_A^r(a) = a_1 \otimes \pi(a_2), \quad \rho_A^l(a) = \pi(a_1) \otimes a_2, \quad \mu_A^r(a \otimes h) = a\sigma(h).$$

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Next aim is to investigate the dual quasi-bialgebra structure on  $A^{\text{co}H} \otimes H$ .